FORM 24-101F2

Clearing Agency Quarterly Operations Report of Institutional Trade Reporting and Matching

CALE	NDAR QUARTER PERIOD COVERED:					
From:	to:					
IDEN'	ΓΙFICATION AND CONTACT INFORMATION:					
1.	Full name of clearing agency:					
2.	Name(s) under which business is conducted, if different from item 1:					
3.	Address of clearing agency's principal place of business:					
4.	Mailing address, if different from business address:					
5.	Contact employee name:					
	Telephone number:					
	E-mail address:					
INSTI	RUCTIONS:					
	r this form together with all exhibits pursuant to section 5.1 of the Instrument, covering the calendar quarter ed above, within 30 days of the end of the calendar quarter.					
	ts shall be provided in an electronic file, in the following file format: "CSV" (Comma Separated Variable) (e.g. mat produced by Microsoft Excel).					
EXHI	BITS:					
1.	DATA REPORTING					
Exhibi	it A – Aggregate matched trade statistics					
tables	ent trades, provide the information to complete Tables 1 and 2 below for each month in the quarter. These two can be integrated into one report. Provide separate aggregate information for trades that have been reported or into your facilities as matched trades by a matching service utility.					
Month	/Year: (MMM/YYYY)					
Table :	1 Equity trades:					

	Entered into clearing agency by dealers				Matched in clearing agency by custodians			
	# of	%	\$ Value	% Industry	# of	%	\$ Value	% Industry
	Trades	Industry	of		Trades	Industry	of Trades	
			Trades					
T								
T+1 - noon								
T+1								
T+2								
T+3								
>T+3								
Total								

Table 2 — Debt trades:

	Entered into clearing agency by dealers				Matched in clearing agency by custodians			
	# of	%	\$ Value	%	# of	%	\$ Value	%
	Trades	Industry	of	Industry	Trades	Industry	of	Industry
			Trades				Trades	
T								
T+1 - noon								
T+1								
T+2								
T+3								
>T+3								
Total								

Legend

"# of Trades" is the total number of transactions in the month;

Exhibit B – Individual matched trade statistics

Using the same format, as Exhibit A above, provide the relevant information for each participant of the clearing agency in respect of client trades during the quarter that have been entered by the participant and matched within the timelines indicated in

Exhibit A.

(Official capacity - type or print)

CERTIFICATE OF CLEARING AGENCY

The undersigned certifies that	t the information given in this report on	behalf of the clearing agency is	true and correct.
DATED at	this day of	20	
(Name of clearing agency - t	ype or print)		
(Name of director, officer or	partner - type or print)		
(Signature of director, officer	or partner)		

[&]quot;\$ Value of Trades" is the total value of the transactions (purchases and sales) in the month.