

**IN THE MATTER OF: THE SECURITIES ACT**

**-and-**

**IN THE MATTER OF: CIBC WORLD MARKETS INC.  
LORI DIANE JOHNSON AND  
GORDON JOSEPH WIMBLE**

**NOTICE OF HEARING**

**TAKE NOTICE** that The Manitoba Securities Commission (“Commission”) will hold a public hearing (“Hearing”) at its offices at 500 – 400 St. Mary Avenue, Winnipeg, Manitoba on Wednesday, the 27th day of May, 2009 commencing at 9:00 o’clock in the forenoon or so soon thereafter as the Hearing can be held, and from day to day thereafter until the Hearing is concluded, to consider:

1. whether or not it is in the public interest to order, pursuant to subsection 8(1) of The Securities Act ("Act"), that as to the registration of Lori Diane Johnson (“JOHNSON”) as a salesperson under the Act, that JOHNSON be reprimanded, terms and conditions on her registration be imposed, and/or her registration be suspended;
2. whether or not it is in the public interest to order, pursuant to subsection 8(1) of the Act, that as to the registration of CIBC WORLD MARKETS INC. under the Act, that it be reprimanded, terms and conditions on its registration be imposed, and/or its registration be suspended;
3. whether or not it is in the public interest to order, pursuant to subsection 8(1) of the Act, that as to the registration of Gordon Joseph Wimble (“WIMBLE”) as a branch manager and officer (trading) (securities) under the Act, that WIMBLE be reprimanded, terms and conditions on his registration be imposed, and/or his registration be suspended;
4. whether or not it is in the public interest to order, pursuant to subsection 148.1(1) of the Act, that JOHNSON, CIBC WORLD MARKETS INC., and/or WIMBLE pay an administrative penalty;
5. whether or not pursuant to section 148.2(3) of the Act, that JOHNSON, WIMBLE, and/or CIBC WORLD MARKETS INC. be ordered to pay compensation for financial loss;
6. alternatively, as to CIBC World Markets Inc., whether CIBC World Markets Inc. be ordered to pay compensation for financial loss pursuant to section 148.2(4) of the Act;
7. whether or not it is in the public interest to order that any or all of the respondents pay the costs of and incidental to the hearing;

8. such further and other matters and the making of such further and other orders as the Commission may deem appropriate.

**BY REASON OF THE FOLLOWING ALLEGATIONS:**

By reason of the allegations set out in the Statement of Allegations of staff of the Commission dated April 22, 2009.

**AND FURTHER TAKE NOTICE** that a person or company attending or submitting evidence at the Hearing may be represented by counsel of its choice.

**AND FURTHER TAKE NOTICE** that any party to the proceedings may, at the Hearing, call witnesses and submit such evidence relevant to the Hearing as it may wish and, for that purpose, it may obtain from the Director of the Commission at 500 – 400 St. Mary Avenue, Winnipeg, Manitoba, a form or forms of summons to compel the attendance of witnesses.

**AND FURTHER TAKE NOTICE** that upon failure of any party to attend at the time and place aforesaid, the Hearing may proceed in that party's absence and the Commission may make or give any decision or order as though that party were present.

**DATED** at Winnipeg, Manitoba this 22nd day of April, 2009.

**Director, Legal and Enforcement**

**TO: LORI DIANE JOHNSON**

**AND TO: CIBC WORLD MARKETS INC.**

**AND TO: GORDON JOSEPH WIMBLE**