



Manitoba Securities Commission

Staff Notice 2010-53

Notice of Amendments to fee Regulation under *The Commodity Futures Act*

Manitoba Regulation 179/99

Amendments to the fee regulation under *The Commodity Futures Act* (the "Act") have been enacted effective December 20, 2010.

The amendments change several of the fees applied under the Act for various registration matters. The amendments more closely align fees charged under the Act with similar fees charged under *The Securities Act*. Percentage fees that were unique to Manitoba have been eliminated to improve the efficiency of the National Registration Database ("NRD"). Other fees have been reduced, and in some cases eliminated.

The fee collection function of NRD has been updated to reflect the amended fee structure.

A listing summarizing the applicable fee changes is attached to this Notice.

December 24, 2010

Questions can be referred to:

Isilda Tavares

Deputy Director – Registrations

Amendments to fees assessed under *The Commodity Futures Act*

Summary of fee changes resulting from amendments to Manitoba Regulation 179/99

Description of service	Fee		Section of Regulation	
	Former	Current	Former	Current
Annual fee to register a branch ¹	\$250	\$200	2(b)	2(1)(c)
Fee to close a branch ¹	\$100	0	10	10.1
Annual fee to register Non-trading officers and directors of a Dealer ¹	\$300	0	2(c)	n/a
Annual fee to register Non-trading officers and directors of an Advisor ¹	\$300	0	5(c)	n/a
Annual fee to register an individual with a Dealer where the individual is also registered under The Securities Act ²	\$150	\$300	8(1)	--
Annual fee to register an individual with an Advisor where the individual is also registered under The Securities Act ²	\$200	\$300	8(2)	--
Annual fee to register an individual under the Advisor category ¹	\$400	\$300	5(c)	2(1)(c)
Fee to terminate the registration of an individual ¹	\$100	\$50	10(1)	10(1.1)
Fee to transfer the registration of an individual from one Dealer or Advisor to another within three months ¹	\$100	\$75	10(1)	2(1)(b)(iii)

Notes:

1. These fees are reduced or eliminated to be consistent with similar fees assessed under the fee regulation to *The Securities Act*.
2. The percentage fee formerly applicable to registrants registered under both *The Commodity Futures Act* and *The Securities Act* has been eliminated.
3. An application by an individual to transfer registration made after three months following termination of registration requires a new application for registration pursuant to National Instrument 33-109. A fee of \$300 applies.

This chart is intended to be a summary of the fee changes for general information only. It is recommended that users refer directly to the fee regulation for specific legal wording.