

THE SECURITIES ACT ) Order No. 5980  
)  
Sections 148(1) and 19(5) ) August 20, 2009

**KWOK-ON ALOYSIUS LO**

**WHEREAS:**

(A) On March 2, 2009, staff of the Ontario Securities Commission ("OSC") entered into a settlement ("Settlement") with Kwok-On Aloysius Lo ("LO") and made an order dated March 5, 2009 against him ("OSC Order");

(B) On June 23, 2009, The Manitoba Securities Commission issued a Notice of Hearing ("Notice") giving notice of its intention to hold a hearing to consider pursuant to section 148.4 of *The Securities Act*, R.S.M. 1988, c. S50 as amended ("Act") whether or not it was in the public interest to grant orders with respect to LO;

(C) On August 19, 2009, the hearing proceeded before a panel of the Commission;

(D) Upon reviewing the documentary evidence and hearing the submissions of counsel for staff of the Commission, no one appearing for the respondent, and upon reviewing the Affidavit of Service, filed, and being satisfied as to service upon the respondent, the Commission is of the opinion that it is in the public interest to make this order.

**IT IS ORDERED:**

**1. THAT**, pursuant to section 148(1) of the Act, LO cease trading in any securities until March 5, 2014, except that the LO is permitted to trade in securities in one RRSP account in his own name pursuant to the exception provided for by the OSC in the OSC Order.

**2. THAT**, pursuant to subsection 19(5) of the Act, subsection 19(1) of the Act, with respect to such of the trades referred to in that subsection, and subsection 19(2) of the Act, with respect to such of the securities referred to in that subsection, do not apply to LO until March 5, 2014.

**BY ORDER OF THE COMMISSION**

**Deputy Director**