

**Amendments to**  
**Companion Policy 33-105CP**  
**Underwriting Conflicts**

1. *Companion Policy 33-105CP Underwriting Conflicts is amended by this Instrument.*
2. *In the following provisions of the Companion Policy “registrant” is struck out wherever it occurs and “specified firm registrant” is substituted:*
  - a. section 2.1,*
  - b. section 2.2,*
  - c. section 2.4,*
  - d. section 4.1,*
  - e. section 4.2,*
  - f. section 4.3,*
  - g. section 5.1, and*
  - h. section 6.1.*
3. *Appendix A-1 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*
4. *Appendix A-2 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*
5. *Appendix A-3 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*
6. *Appendix A-4 is amended by striking out “registrant” wherever it occurs and substituting “specified firm registrant”.*
7. *This Instrument comes into force on the day National Instrument 31-103 Registration Requirements and Exemptions comes into force.*